

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham R. EASTHAM *et al.*

Appln. No.: 10/524,034

Confirmation No: 2449

International Application No. PCT/GB03/03383

International Application Filed: August 4, 2003

For: PROCESS FOR THE  
CARBONYLATION OF  
ETHYLENICALLY UNSATURATED  
COMPOUNDS

Art Unit : To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-213441

Customer No.

26694

PATENT TRADEMARK OFFICE

**SECOND INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Attention: MAIL STOP - PCT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached form PTO/SB/08. These references are cited in the specification of the above-referenced application. It is respectfully requested that these references be expressly considered during the prosecution of this application, that they be made of record herein, and that they appear among the "References Cited" on any patent to issue therefrom.

The application identifies several European patent documents, and their U.S. counterparts are submitted herewith. The following table summarizes the relationships:

<u>European Patent</u>	<u>US Patent</u>	<u>Cite No.</u>
EP 0 489 472	5,149,868	A1
EP 0 274 795	4,960,926	A2
EP 0 499 329	5,189,003	A3
	5,258,546	A4
EP 0 386 883	5,028,576	A5
	5,099,062	A6
	5,103,043	A7
	5,158,921	A8
EP 0 441 447	5,166,116	A9
	5,177,253	A10
	5,179,225	A11
EP 0 489 472	5,149,868	A12
EP 0 282 142	4,786,443	A13
EP 0 277 160	5,004,604	A14
EP 0 495 547	5,210,280	A15
EP 0 495 548	5,210,280	A15

In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

This Information Disclosure Statement is being filed before the issuance of the first Office Action, and fee is believed to be required; however, if a fee is determined to be due,

please charge the amount to our Deposit Account No. 22-0261, and advise the undersigned accordingly.

Respectfully submitted,

Date: October 11, 2008



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DC2/649493

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Substitute for form 1449A/PTO						Complete if Known					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)						Application Number			10/524,034		
						Filing Date			February 9, 2005		
						First Named Inventor			Graham R. EASTHAM et al.		
						Group Art Unit			To Be Assigned		
						Examiner Name			To Be Assigned		
Sheet 1 of 1			Attorney Docket Number			31229-213441					
U.S. PATENT DOCUMENTS											
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		Number	Kind Code <sup>2</sup> (if known)								
	A1	5,149,868			DRENT	09-22-1992					
	A2	4,960,926			DRENT	10-02-1990					
	A3	5,189,003			KLUSENER et al.	02-23-1993					
	A4	5,258,546			KLUSENER et al.	11-02-1993					
	A5	5,028,576			DRENT et al.	07-02-1991					
	A6	5,099,062			DRENT et al.	03-24-1992					
	A7	5,103,043			DRENT et al.	04-07-1992					
	A8	5,158,921			DRENT et al.	10-27-1992					
	A9	5,166,116			DRENT et al.	11-24-1992					
	A10	5,177,253			DRENT et al.	01-05-1993					
	A11	5,179,225			DRENT et al.	01-12-1993					
	A12	5,149,868			DRENT	09-22-1992					
	A13	4,786,443			DRENT et al.	11-22-1988					
	A14	5,004,604			TERNESS et al.	04-02-1991					
	A15	5,210,280			DRENT	05-11-1993					
	A16	6,348,621			WANG et al.	02-19-2002					
	A17	6,706,912			DRENT et al.	03-16-2004					
	A18	6,743,911			DRENT et al.	06-01-2004					
FOREIGN PATENT DOCUMENTS											
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		Office <sup>3</sup>	Number <sup>4</sup>	Code <sup>5</sup> (if known)							
	A19		EP 0 055 875								
	A20		EP 0 106 379								
	A21		EP 0 235 864								
OTHER PRIOR ART - PENDING PATENT APPLICATIONS											
Examiner Initials *	Cite No. <sup>1</sup>										
	A22	"Handbook of Chemistry and Physics," 76 <sup>th</sup> Ed., LIDE, David R., et al., C.R.C. Press, 1995.									
	A23	"Homogeneous Transition Metal Catalysis - A Gentle Art." MASTERS, C., Chapman and Hall, 1981.									
Examiner Signature					Date Considered						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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